



# Analysis of the occurrence of wildfires in the Iberian Peninsula based on harmonised data from national forest inventories

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## Abstract

• **Key message** Every year, about 280,000 ha of forest area burn in the Iberian Peninsula. Both countries national forest inventories were harmonised to provide consistent results of the influence of forest stand structure on fire probability. Results show that basal area and vertical structure variables are associated with fire probability; however, that association varies with forest composition. Deciduous oaks and pine forests showed opposite tendencies. Forest management could be oriented considering these results.

• **Context** Fuel variables, in particular the ones that characterise stand vertical structure, are extremely important to determine the occurrence and severity of fire. However, documentation on fire occurrences and stand characteristics is still scarce in southern Europe.

• **Aims** In this study, we analyse the stand and structure variables from National Forest Inventories (NFIs) in order to identify the important ones that are associated with the presence/absence of wildfires in the Iberian Peninsula.

• **Methods** A harmonised database including a characterization of the vertical structure of the stand and its species composition was obtained by combining data from NFIs from Spain and Portugal and data from burned areas that occurred between 2005 and 2015.

• **Results** Stand characteristics results show that the plots that were later burned have lower average stand basal area. For deciduous oaks, more canopy cover has less probability to burn, and for all the other oaks, in different degrees, more understory cover has higher probability to burn. Regarding pine species, more canopy cover has lower probability to burn.

• **Conclusion** The results indicate important associations between stand variables and the presence/absence of wildfires that could support the forest management with the objective of reducing the probability of forest fires.

**Keywords** Wildfire · Vertical structure · Portugal · Spain · Harmonised Forest Inventory data

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## 1 Introduction

### 1.1 Forests in Spain and Portugal

Forest land in Spain occupies 18.418 million hectares (36.9% of total land) and the other wooded land 9.208 million hectares (FOREST EUROPE 2015a). Forest area has been increased, since 1990, by 4.608 million hectares due to several factors but, especially, to agricultural land abandonment. Spain has four eco-regions: Alpine, Atlantic, Mediterranean and Macaronesia. This fact implies great species diversity with very different stand structure. Coniferous and broadleaf forests account for similar amounts of forested area in Spain (6.8 and 10.0 million hectares, respectively) while mixed forest covers 1.4 million hectares (FOREST EUROPE 2015a). The forest types having an area greater than 1 million hectares are the holm oak forest (*Quercus ilex* L. s.l.), *dehesas* and other agrosylvopastoral systems, Aleppo pine forest (*Pinus*

*halepensis* Mill.), scots pine forest (*Pinus sylvestris* L.) and the mixed autochthonous broadleaves and coniferous forests of the Mediterranean biogeographical region (Spanish Forest Map 1997–2006, 1:50,000).

Forests in Portugal occupy 35.3% of the territory, about 3.182 million hectares, a percentage in accordance with the average value of the EU27, 37.9% under State of Europe's Forests (SOEF) Report 2015 (FOREST EUROPE 2015a). A characterization of forestry evolution in Portugal, including the reforestation state subsidised programs that occurred from the 1930s onwards, was made by Tomé et al. (1999). As a consequence, the forest area almost doubled in the last century. According to the last inventory (ICNF 2013), the most important tree species in Portugal are *Eucalyptus globulus* Labill. (812,000 ha), *Quercus suber* L. (737,000 ha) and *Pinus pinaster* Aiton (714,000 ha) with around 72% of the total forest area.

The major concerns for the future of the forest and forestry sector in the Iberian Peninsula are wildfires, rural abandonment (emigration to the cities that lead to forsake management practices), global climate change, the loss of forest biodiversity and desertification (INE 2001; do Rosário 2004; DGRF 2006; Pereira et al. 2006b; FOREST EUROPE 2015b; MAGRAMA 2015). Forest wildfires are a matter of concern in forest management and in the protection of natural resources. The burned area of stands and shrubs in 2017 was about 442,000 ha in Portugal (ICNF 2017) and 178,000 ha in Spain (MAPAMA 2017a).

## 1.2 National Forest Inventory (NFI)

National Forest Inventories (NFIs) provide one of the best large-scale sources of information and have had an expansion to include new variables to meet increasing information requirements. This need regarding wood stocks and areas of major forest species provided the impetus to undertake the first NFIs in the Iberian Peninsula. Recently, the NFIs also provide information on key aspects of forest resources, wood availability, carbon storage, vitality and forest biodiversity (ICNF 2013; IEPNB 2016).

The Spanish National Forest Inventory (SNFI) covers all forest land in Spain. The First SNFI1 (1965–1974) was based on aerial photograph interpretation and around 65,000 temporary plots were measured using Bitterlich sampling. Although the initial objective was to have a 10-year cycle between NFIs, the second SNFI2 did not start until 1986 due to national circumstances. From the second cycle onwards, the plots are permanent, enabling stratification and comparisons to be undertaken (Alberdi Asensio et al. 2010). Sample plots are established at the intersections of a 1-km × 1-km UTM grid. Since the Third SNFI3, forest area and strata estimation are described prior to the NFI using the National Forest Map (Alberdi et al. 2016). Although the primary objectives were

the estimation of forest area and growing stock, the current aim of the SNFI is to provide information at national and regional levels about the state and evolution of forests through the analysis of growing stock, carbon pools, development of forest resources, forest health, risks and forest biodiversity (Alberdi et al. 2017).

The Portuguese National Forest Inventory (PTNFI) was started in 1965 and covers the entire territory of mainland Portugal. The first PTNFI1 was carried out during the years 1965 and 1966 as a result of a common interest of the Portuguese National Forest Service and the pulp and paper industries to obtain data on growing stocks and their distribution, mainly for *P. pinaster* and *E. globulus*. The main objective of the PTNFI2 was to update the information related to all the sampled stands of *P. pinaster* and *E. globulus*. The PTNFI3 took place between the years 1980 and 1989 by the National Forest Services in collaboration with the Portuguese Association of the Pulp and Paper Industries to update information on the area and standing volumes for all the country and particularly to *P. pinaster* and *E. globulus* stands and to evaluate the structure and the health conditions of *Q. suber* and evergreen oaks (*Quercus* L. spp.) forests. The need for more recent forest information due to forest wildfires and eucalyptus area expansion led to the realization of the PTNFI4, which took place between 1990 and 1999 and was conducted in two phases (Barreiro et al. 2010): (a) In phase 1, the *P. pinaster* and the *E. globulus* stands were inventoried to estimate the volume for both species and (b) phase 2 aimed to update the information resulting from the previously collected inventory and to incorporate analysis on the Portuguese forest biodiversity as a consequence of the recommendations from the Third Ministerial Conference on the Protection of Forests in Europe (MCPFE 2003a), which was held in Lisbon in 1998. The PTNFI5 took place between 2005 and 2006 and had the objective to update the area, forest stand composition and standing volume due to the vast area affected by wildfires of 2003 and 2005 (AFN 2010). The inventory was carried out in cooperation with the Portuguese Association of the Pulp and Paper Industries and incorporated additional variables to provide information on sustainable forest management indicators (MCPFE 2003b). The latest NFI (PTNFI6) had as objective to update information produced on the previous one in terms of growing stock volume and biomass, sequestered carbon, cork, cone and resin production and biodiversity indicators. This inventory took place between 2010 and 2015, and the preliminary results are available on ICNF (2013).

## 1.3 Wildfires in the Iberian Peninsula

Historically, fire was an important element for the ecosystem dynamics in the Mediterranean landscapes (Keeley et al. 2012) and represented a major element in many forested

ecosystems (González et al. 2006), particularly in the Southern Europe, where rural communities learned how to use it as a land management tool. However, on the second half of the twentieth century, with the depopulation of rural areas, wildfires increased in area in the Iberian Peninsula and forest fires became one of the main environmental problems and one of the most important natural hazards (Pereira et al. 2006a; San-Miguel-Ayanz et al. 2013). Although quite different in size of territory and population, Portugal and Spain share similar environmental conditions and fire propensity. Therefore, the phenomena of large fires in the Iberian Peninsula, in particular its behaviour, needs to be treated as a whole.

According to the data in the European Forest Fire Information System (EFFIS) (<http://effis.jrc.ec.europa.eu/>), about 20,000 ignitions occurred in Portugal between 2005 and 2014 with a burned area of about 104,000 ha (Fig. 1). The scenario in Spain during the same period was not very different. An average of 15,000 fires occurs in Spain every year, burning approximately 108,000 ha (MAPAMA 2017b). Between 2001 and 2010, nearly a third (389,000 ha) of the burnt area was forest (MAPAMA 2017c).

The necessity to improve the national fire management systems is important in both countries in order to reduce the number of fires and the burned area as a consequence of these catastrophic wildfires in the Iberian Peninsula (Rego et al. 2013; San-Miguel-Ayanz et al. 2013). Moreover, it is essential to have a fire risk assessment model to weigh forest management options with respect to the fire risk issues (González et al. 2006).

#### 1.4 The role of NFI on fire assessment

Fires occur because of a combination of predisposing weather, fuel conditions and ignition agents, resulting this last one from human activities or natural events (Lavorel et al. 2007). The behaviour of a wildfire can be predicted by the stand forest characteristics collected on NFIs and have been explored by several authors (Lentile et al. 2006; Fernandes 2009; Alvarez et al. 2012; Fernández-Alonso et al. 2013).

In a first approach, using simulations, some studies found that forest inventory data was helpful in fuel modelling (Cruz et al. 2003), hazard classification and mapping (Hardy et al. 2001). Fernandes et al. (2006) build a set of fuel models for forest types defined as a combination of overstorey species dominance and stand structure using forest inventory data in Portugal. The forest classification in the Portuguese NFI was translated into fuel models, which were used to evaluate and compare the fire hazard potential between and within forest types defined by their composition and structure. These authors found that potential fire behaviour is primarily driven by stand structure, rather than by cover type. In a later study and using the same data, Fernandes (2009) examined the hypothesis of whether standard forest inventory data could be used to assess fire hazard. González-Ferreiro et al.

(2017) used data from the Fourth Spanish National Forest Inventory (SNFI4) to model the vertical profile of canopy fuels loads, with stand variables as predictors, in pure and even-aged *P. pinaster* and *Pinus radiata* D. Don stands in Galicia (north-western Spain). In this study, dominant height was the main predictor for both species and when other predictor variables were also included in models, only quadratic mean diameter for *P. pinaster* and stand basal area for *P. radiata* significantly improved the results obtained with dominant height. Other studies also considered density and height as potential predictors of fire type (Alvarez et al. 2012; Fernández-Alonso et al. 2013).

In a second approach, based on the estimation of fire probability, González et al. (2006) presented a model for the probability of fire occurrence in forest stands in Catalonia (north-eastern Spain). This model was developed based on data from the SNFI2 and perimeters of the forest fires that occurred in Catalonia during a 12-year period that followed the SNFI2 measurement. These authors hypothesised that by decreasing stand density, removing low canopy layers and favouring hardwood, the forest manager may decrease the probability of fire damage and make it easier to extinguish the fire.

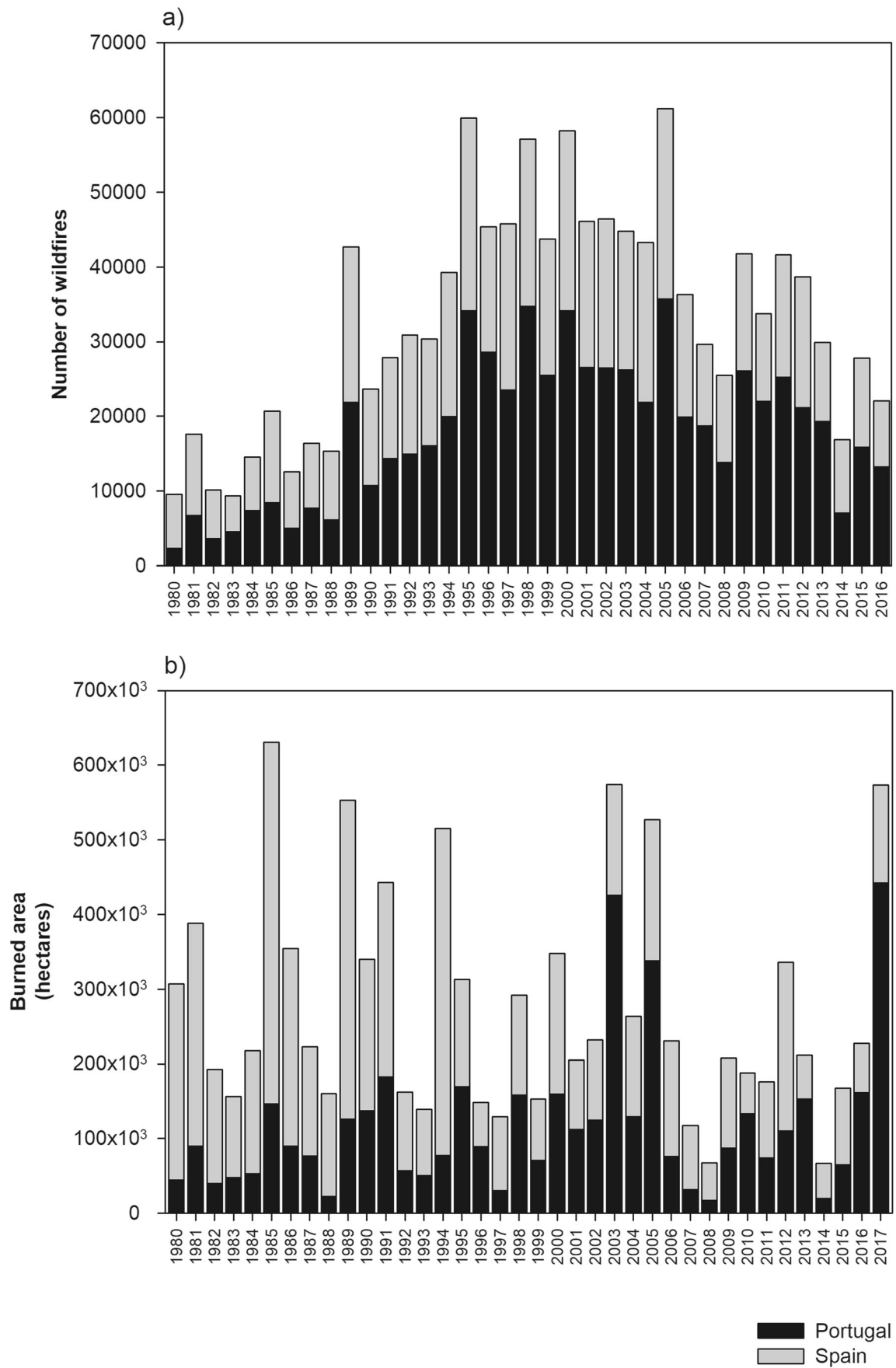
In our study, we used the second approach to understand if the characteristics of the stands, collected or derived from the NFIs, are associated with the probability of fire occurrences in the Iberian Peninsula.

Accurate knowledge of the relationship between forest characteristics and the occurrence of wildfires is essential, and the information in the NFIs is the only currently available regarding forest characteristics and distribution at national scale. Therefore, studies aimed to relate the variables of the NFI with potential wildfires are required to establish fuel treatment priorities and evaluate the effectiveness of fuel management actions (Keane et al. 2001). The objectives of this work were threefold (i) to analyse the history and the development of the NFIs in Spain and Portugal since the first inventories in the two countries in order to select the stand characteristics that are related with wildfires and to identify the reasons underlying the field collection of the parameters related with wildfires, (ii) to identify the important stand variables from Spain and Portugal NFIs that are associated with the presence/absence of wildfires in the Iberian Peninsula and (iii) to analyse the stand vertical structure and to evaluate their impact on the fire occurrence.

## 2 Material and methods

### 2.1 Analysis of the history and the development of the NFI in Spain and Portugal

Research on the two countries NFIs was conducted in order to analyse the purpose of each inventory, the field variables collected, evolution of their integration and the reasons behind



◀ **Fig. 1** **a** Number of wildfires (1980–2016) and **b** burned areas (1980–2017) in Spain and Portugal. Dataset from <http://effis.jrc.ec.europa.eu/>. Burned area for Portugal in 2017 from ICNF (2017)

these trends. Table 1 presents a summary of Spain and Portugal NFIs in terms of the sampling method, field plots and the maps that support the analysis of field work. In Portugal were analysed publications and final reports available on the Portuguese National Forests Services library (DGSFA 1965–1966, 1966, 1968, 1971, 1985, 1989, 1990, 2001; AFN 2009; ICNF 2013) and also information available on the website related with the most recent inventories (<http://www2.icnf.pt/portal/florestas/ifn>). For Spain, the digital information available on the website of the Spanish National Forest

Inventory was used ([http://www.mapama.gob.es/es/biodiversidad/servicios/banco-datos-naturaleza/informacion-disponible/index\\_inventario\\_forestal.aspx](http://www.mapama.gob.es/es/biodiversidad/servicios/banco-datos-naturaleza/informacion-disponible/index_inventario_forestal.aspx)). All the variables collected on each inventory were listed, and a selection of which variables were important to fuel modelling was carried out. These variables were organised in three groups, (i) timber and cork production, (ii) fire and (iii) biodiversity, and then were recorded in the years where the collection took place.

The analysis reveals that in the NFIs, with time, occurred changes either in their objectives or in the collection of data. Table 2 indicates that the NFIs have been augmented to include new variables to meet increasing information requirements, such as the ones to estimate biodiversity indicators and fire assessment. The early inventories focused their action and data on

**Table 1** Summary of the National Forest Inventories in Spain and Portugal

Spain Inventory	Year	Based on	Sampling method and field plots	Portugal Inventory	Year	Based on	Sampling method and field plots
SNFI1	1965–1974	Estimation of forest areas using a systematic sampling grid, overlaid on aerial photographs (scale 1:30,000)	Stratified double sampling design with optimal allocation of plots; temporary plots	PTNFI1	1965–1965	North: panchromatic aerial photography from 1963 to 1965 (scale 1:15,000) South: agricultural and forestry areas map	Stratified sampling strategy; temporary plots
				PTNFI2	1968–1980	North: new aerial photography South: new infrared aerial photography (scale 1:15,000)	Stratified sampling strategy; temporary plots
SNFI2	1986–1996	Forest areas and strata were identified from the existing agriculture and land use map.	Systematic 1-km × 1-km grid; permanent plots	PTNFI3	1980–1981	New aerial photography coverage of the country For the regions analysis, a panchromatic aerial photography from 1986 was used.	Stratified sampling strategy; temporary plots
				PTNFI4	1990–1999	Phase 1. 1990: aerial photography from 1990 on false colour infrared film to produce the land use cartography (scale 1:25,000). Phase 2. 1995: aerial photography production; 1996–1997: photo-interpretation and area evaluation	Stratified sampling strategy; temporary plots
SNFI3	1997–2007	Stratification was ‘a posteriori’. Land cover classification and forest area estimation were based on digital maps (scale 1:50000) and ortho-images.	Same systematic grid as SNFI2; permanent plots	PTNFI5	2005–2016	New aerial photography from 2004, 2005 and 2006 covering the whole country	Systematic 2 km × 2 km grid; permanent location plots
SNFI4	2008-ongoing	Stratification was ‘a posteriori’. Land cover classification and forest area estimation were based on new digital maps (scale 1:25000) and ortho-images.	Same systematic grid as SNFI3; permanent plots	PTNFI6	2014–2015	Digital ortho-photo maps of 1995, 2005 and 2010 (500 m × 500 m grid)	Same systematic grid as PTNFI5; permanent location plots

**Table 2** Stand variables from national forest inventories that are relevant in fuel modelling

VARIABLES	UNITS	TYPE	PORTUGAL												
			SPAIN					PORTUGAL							
			NF11	NF12	NF13	NF14	NF15	NF16	COMMENTS						
<b>TIMBER AND CORK PRODUCTION</b>															
Dominant Species	adim	Plot	x	x*	x*	x*	x*	x*	*Dominant tree and shrub species (cover and height by species)	x	x	x	x	x	x
Diameter at breast height	cm	Tree	x	x	x	x	x	x		x	x	x	x	x	x
Total height	m	Tree	x	x	x	x	x	x		x	x	x	x	x	x
Bark thickness	mm	Tree	x	x	x	x*	x*	x*	In sample trees *Cork trees since 2017	x	x	x	x	x*	x
Litter cover	%	Plot	x	x	x	x	x	x	Since 2002	x	x	x	x	x	x
Litter thickness	cm	Plot	x	x	x	x	x	x		x	x	x	x	x	x
Crown base height	m	Tree	x	x	x	x	x	x	In sample trees	x	x	x	x	x	x
Damage by fire by tree (degree; part of the tree)	%; tree partition	Tree	x	x	x	x	x	x		x	x	x	x	x	x
<b>BIODIVERSITY</b>															
Fuel model	Categorical	Plot							Categorical 1-13						
Cover by species (trees, shrubs and herbaceous)	%	Species	x*	x*	x*	x*	x*	x*	* Herbaceous species not identified	x	x	x	x	x	x
Cover by height class (< 2m; understory vegetation)	%	Species	x <sup>+</sup>	x*	x*	x*	x*	x*	+ Height from all trees and average height of main shrub taxa - strata could be done; * By strata but also by species as NF12	x	x	x	x	x	x
Crown diameter	cm	Tree	x	x	x	x	x	x		x	x	x	x	x	x
Dead wood	cm; mm; decay class 1-5	Component, species	x <sup>+</sup>	x*	x*	x*	x*	x*	+ Dead trees that were alive in the previous inventory; * All dead wood components by species, decay class and also dimensions	x	x	x	x*	x*	x*

wood stocks and areas of major forest species whereas the latest inventories provide also information on sustainable ecosystem indicators that include new variables that support forest management (Tomppo et al. 2010) as well as information on forest resources for establishing policies, plans and projects and for international reporting purposes (e.g. Forest Resources Assessment, Forest Europe, State of Europe's Forests, United Nations Framework Convention on Climate Change). In Spain, due to the increasing national and international forest information requirements, the number of measured variables and objectives was broadened, including vertical structure. New methodologies were developed taking into consideration the national forest characteristics, the information requirements but also the National Forest Inventories harmonisation initiatives (Vidal et al. 2016; Alberdi et al. 2017). New field assessments related to vertical structure stands in Portugal started in PTNFI4 as a consequence of recommendations from the Third Ministerial Conference of the Protection of Forest in Europe which considered of major importance an analysis of the Portuguese forest biodiversity. Since then, most of the sustainable forest management indicators established in Lisbon (1998) and Vienna (2002) (MCPFE 2003a) are still being estimated.

## 2.2 Stand variables collected in the NFIs useful to assess fire probability

Apart from conventional NFI variables such as diameter at breast height, total height, standing volume and density, other variables that provide information regarding biodiversity indicators are useful to assess fire behaviour models, mainly those linked to the vertical structure of the stands that can be easily retrieved from NFI data. In order to assess wildfire occurrence in the Iberian Peninsula, a harmonised methodological process was carried out in the frame of the H2020 DIABOLO project (<http://diabolo-project.eu/>) to achieve a common database structure for NFI stand data, taking into account harmonised tree species. Data from forest stands used in this work were obtained from the SNFI3 (1997–2007) and from the PTNFI5 (2005–2006).

SNFI3 established around 95,000 permanent field plots in all forest land consisting of four concentric circular fixed areas with radius of 5, 10, 15 and 25 m. Data collection can be categorised into three main classes: stand description, measured tree data and biodiversity assessment. Tree saplings, regeneration and shrub species are recorded in the 5 m radius subplot. PTNFI5 used a systematic sampling design done from a regular grid of  $0.5 \times 0.5$  km, covering the whole country (used for photo-interpretation), that originates other grids of higher order with  $2 \times 2$  km and  $4 \times 4$  km for field work in forests and shrubs, respectively. The systematic grid covers all land classes. Field plots, in a total of 12,258, are circular areas of  $2000\text{m}^2$  for *Q. suber* and *Q. ilex* s.l. and of  $500\text{m}^2$  for all other dominant species.

In both inventories, the following data were recorded for each sample tree: species, diameter at breast height, height, abundance as well information regarding the structure of the species through the different canopy layers. Forest plots were identified as the ones with more than 0.5 ha with trees higher than 5 m and a canopy cover of more than 10%, in accordance with forest definition of the Food and Agriculture Organization of the United Nations (FAO 2004). The final database that was used in this analysis has a total of 60,607 inventory plots from Spain and 7935 inventory plots from Portugal, measured over the whole Iberian Peninsula. Data from the Macaronesia Region (Canary, Azores and Madeira islands) were not considered in this study.

Variables selected from plot data were stand basal area (BA,  $\text{m}^2 \text{ha}^{-1}$ ), dominant height (Hdom, m), defined as the mean height of the 100 thickest trees per hectare, and density by species ( $\text{n ha}^{-1}$ ), whereas those related with trees were diameter at breast height (DBH, cm), total height (H, m), crown base height (CBH, m) or crown length (CL, m), and vertical structure. Other stand variables useful to complete the fuelbed information were litter thickness (m) and litter cover (%).

A common methodology was applied to NFI data of both countries in order to assess the percentage of cover by species (tree and shrubs). Countries have different field methodologies to collect these data, and the threshold of the vertical structure height classes is different. We propose a harmonisation process to establish the percentage of cover by species, tree and shrubs, by height classes with a total of seven strata of multiple heights (DGF 1999). These thresholds are commonly used in studies of other biological groups, such as bird communities across Europe, orchids and insects in South America (e.g. Watt 2004; Confalonieri and Neto 2012; Pedroso-de-Moraes et al. 2015). The same tree or shrub can be part of different height classes, seven height strata in total (h1 > 16 m, h2 [8–16 m], h3 [4–8 m], h4 [2–4 m], h5 [1–2 m], h6 [0.5–1 m], h7 < 0.5 m), but no stratum can have more than 100% of forest cover. In Spain, we developed models to estimate the crown base height and the crown width of each species using information from four sample trees systematically selected on each sample plots of the SNFI2 to complete a database with more than 255,000 sample trees covering the distribution area of all the forest species. Then, the estimates of these variables were used to classify the trees in the seven height strata. Moreover, crown cover and average height of every shrub species were recorded in the 5 m subplots in the SNFI3. Then, these measures were used to classify the shrubs species in each stratum. In Portugal, the vertical structure was assessed by the cover percentages for each of the three most abundant species (tree or shrub) per height class.

From a total of 34 and 33 trees species or tree groups inventoried from Spain and Portugal, respectively, an aggregation taking into account main tree species led to a final set of 16 trees or tree groups: *P. pinaster*, *P. halepensis*, *Pinus pinea* L., *P. sylvestris*, other pines, other conifers, *Q. ilex* s.l.,

*Quercus faginea* Lam., *Q. suber*, *Quercus robur* L., *Quercus pyrenaica* Willd., other oaks, *Eucalyptus* L'Hér. spp., *Castanea sativa* Mill., *Fagus sylvatica* L. and other broad-leaves. The nomenclature of species is in accordance with *Flora iberica* (Castroviejo et al. 2015).

### 2.3 Burned areas in the Iberian Peninsula

The period 2005–2015 was selected in order to allow an adequate temporal proximity to the NFI data of both countries (SNFI3, 1997–2007 and PTNFI5, 2005–2006) where almost all the field work was done previously to 2005. The fire dataset, which includes wildfires recorded in Portugal and Spain, was retrieved from the European Fire Database provided by the European Forest Fire Information System (EFFIS). The forest fire information is derived from the daily processing of MODIS satellite imagery at 250 m ground spatial resolution, where burnt scars of approximately 30 ha in size are mapped. Although only a fraction of the total number of fires is mapped, the area burned by fires of this size represents about 75 to 80% of the total area burned in the EU (San-Miguel-Ayanz et al. 2012). For the analysis purposes, we used the dataset as a vector layer in ESRI Shapefile format.

### 2.4 Data analysis

The database included all harmonised data from Spain and Portugal NFIs. Data were analysed taking into account the following variables: density (number of trees per hectare), basal area ( $\text{m}^2 \text{ha}^{-1}$ ), dominant height (m), average height (m), quadratic mean diameter at breast height (cm), litter cover (%) and the percentage of cover of each species in a plot per class height (h1–h7). A comparison of two means with Student's *t* test was applied to identify the important stand variables that are associated with presence/absence of wildfire in the Iberian Peninsula for each of the 16 classes associated with the dominant tree species or groups of species.

Analyses of the vertical structure and the total coverage of the stands were done to understand the behaviour of the species through the different strata in two situations: burned plots and plots that were later burned.

All statistical analyses were performed using procedures of the IBM SPSS Statistics (version 23). The identification of the plots that were later burned was done by intersecting the burned areas with the NFI plots, using Geographic Information Software QGIS, in a common coordinate reference system (ETRS89). A binary categorical variable was then created, equalling 0 if the plot was not burned later and 1 if the plot was burned later at least one time. Based on the wildfire characteristics in the Iberian Peninsula and also in the knowledge from field experts on wildfire behaviour, we assumed that all the plots that were located inside a burned area were burned.

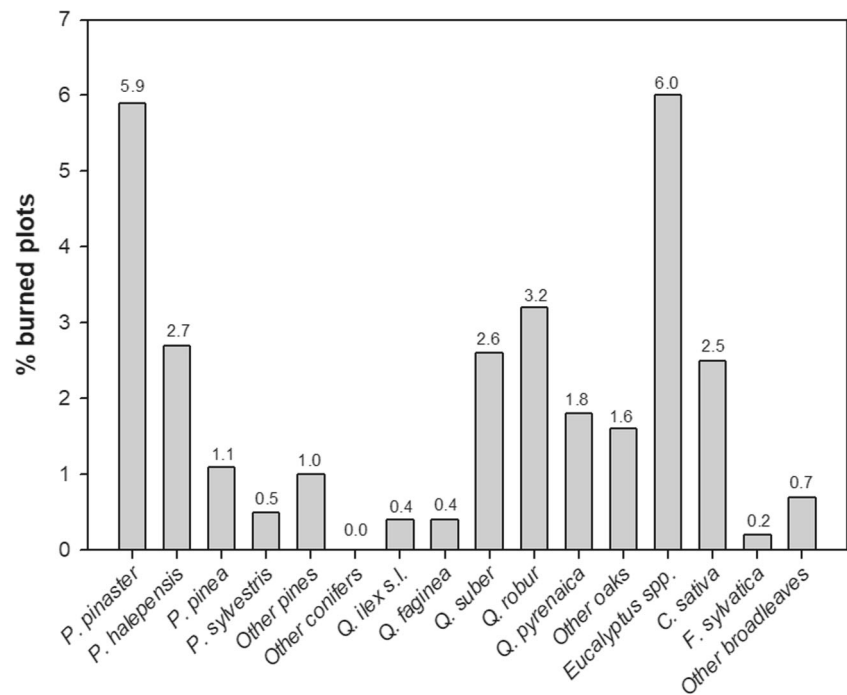
## 3 Results

In Portugal, 1396 out of 7935 sample plots of the PTNFI5 were burned (18%) at least one time, whereas in Spain, only 1093 out of 60,607 sample plots of the SNFI3 were burned (2%). *P. pinaster* (5.9%) and *Eucalyptus* spp. (6.0%) are the species with the highest percentage of burned plots for the Iberian Peninsula (Fig. 2). In Portugal, the 12% and 10% of the burned plots corresponds to *P. pinaster* and *Eucalyptus* spp. plots, respectively, whereas in Spain, the percentage of burned plots for the same species is 34% and 11%, respectively. These plots are located mainly in the Galicia region of Spain and in the centre and north of Portugal (Fig. 3). In fact, Portugal had an area affected by wildfires in 2005 of around 338,000 ha (Fig. 1). Between 1990 and 2007, the percentage of burned area for these same species was approximately 26% for *P. pinaster* and 11% for *Eucalyptus* spp., accordingly with the results from Fireland Project (CEABN 2013). In Spain, during the period from 2001 to 2010, the highest percentages of burned area by species corresponded to *P. pinaster* (27%), *E. globulus* (14%) and *P. halepensis* (11%) (MAPAMA 2017c).

Stand variables from Spanish and Portuguese NFIs (basal area, density, quadratic mean diameter and dominant height) were analysed in order to identify those that are associated with presence/absence of wildfire on the NFI plots for a period of 10 years (2005–2015) (Fig. 4). Low values of stand basal area tend to be associated to plots with high probability of burning. The only exceptions are for *Q. suber* and, to a lesser extent, for *Q. faginea*. The average stand basal area of the plots that were later burned was significantly lower than those of the unburned plots ( $P < 0.01$ ) for *P. pinaster* and the other conifers, for the deciduous oaks, *Q. robur* and *Q. pyrenaica*, and for *Eucalyptus* spp. (Fig. 4a). The lower value of average stand basal area of the *P. pinaster* and *Eucalyptus* spp. plots that were burned later is associated with lower quadratic mean diameters and not with lower density, whereas for the deciduous oaks, *Q. robur* and *Q. pyrenaica*, lower stand basal area is much more associated with lower density of those plots than with differences in quadratic mean diameter (Fig. 4b, c). For *Q. suber*, the situation is a little more complex. The plots that were later burned have higher stand basal area than non-burned plots as the higher density of trees in pre-fire plots is more influential on stand basal area than smaller quadratic mean diameters. For *C. sativa*, the statistical differences between unburned and plots that were later burned are observed in the dominant height ( $P < 0.01$ ) as the unburned plots tend to have taller dominant trees and denser stands (Fig. 4d). A deeper look into this question and a better understanding of the processes involved are possible by using the information of the vertical structure of the stands (Fig. 5) taking into account the seven height layers' strata (h1–h7). The burning probability and fire spread on pines, well-illustrated by



**Fig. 2** Percentage of burned NFIs plots in Iberian Peninsula per species or group of species



*P. pinaster*, is associated with pre-fire characteristics of lower values of stand basal area and quadratic mean diameters, to not only lower canopy cover (lower cover values for layers h1 to h4) but also higher cover values for the understory layers h5 to h7, which are more associated with fire probability and spread (Fig. 5a). For oaks, two different situations occur: on one hand, for the smaller evergreen oaks, well illustrated by *Q. suber*, the plots that were later burned have higher values of stand basal area and density, with higher cover values for the understory layers h5 to h7 (Fig. 5b), and therefore, the evergreen crowns that burn well are closer to each other and to the ground which is a factor favouring burning probability and fire spread; on the opposite side, we have unburned plots more associated with low density and larger quadratic mean diameters. The *Q. robur* and *Q. pyrenaica* plots that were later burned have low values of stand basal area and density with higher values for understory cover layers h5 to h7. For *Eucalyptus* spp. and *C. sativa*, the plots that were later burned have lower stand basal area and smaller quadratic mean diameters, which is reflected in lower cover in all strata of the vertical structure (Fig. 5c). No burned plots were identified in the *Other conifers* neither *Other broadleaves* plots.

## 4 Discussion

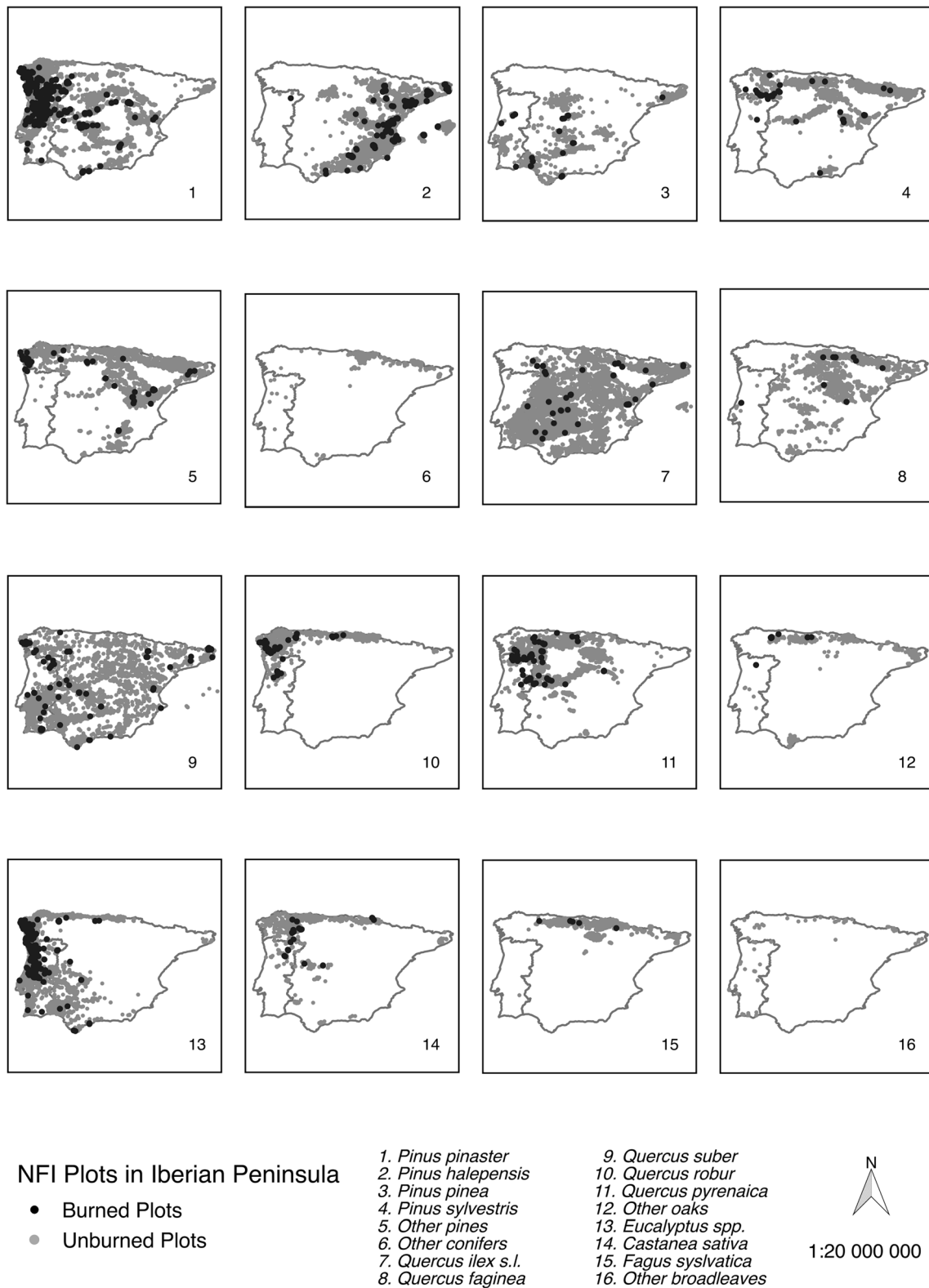
### 4.1 Fuel variables from National Forest Inventories

Nowadays, the information on the characteristics of the vertical structure of forests, canopies and understory can be easily

retrieved from the NFIs. NFIs have been integrating more information on variables used for fuel modelling in Portugal and Spain since the 1990s. Current fire behaviour models require information on the several structure variables, and some studies have addressed the importance of stand structure as the main driver of fire vulnerability (Keyes and O'Hara 2002; Fernandes 2009). In fact, NFIs are important tools to provide information on status and trends on a variety of parameters describing forests and forest uses and represents the most complete spatial distribution of many stand and tree variables (ICNF 2013; Álvarez-González et al. 2014; Alberdi et al. 2017). Nevertheless, information about vertical structure of forests is often collected in a non-harmonised way across countries, situation that compromises the comparability and reinforces the need to develop harmonised methodologies for assessing fuel loads in the vertical strata. The harmonised NFI data serve both national and international reports and take into account the requirements and recommendations of national and international agreements (MCPFE 2003b, e.g. a; Vidal et al. 2016) that can be used to support fuel management programs at European scale (ICNF 2013; Álvarez-González et al. 2014; Alberdi et al. 2017).

### 4.2 Occurrence of wildfires in Iberian Peninsula based on harmonised NFI information

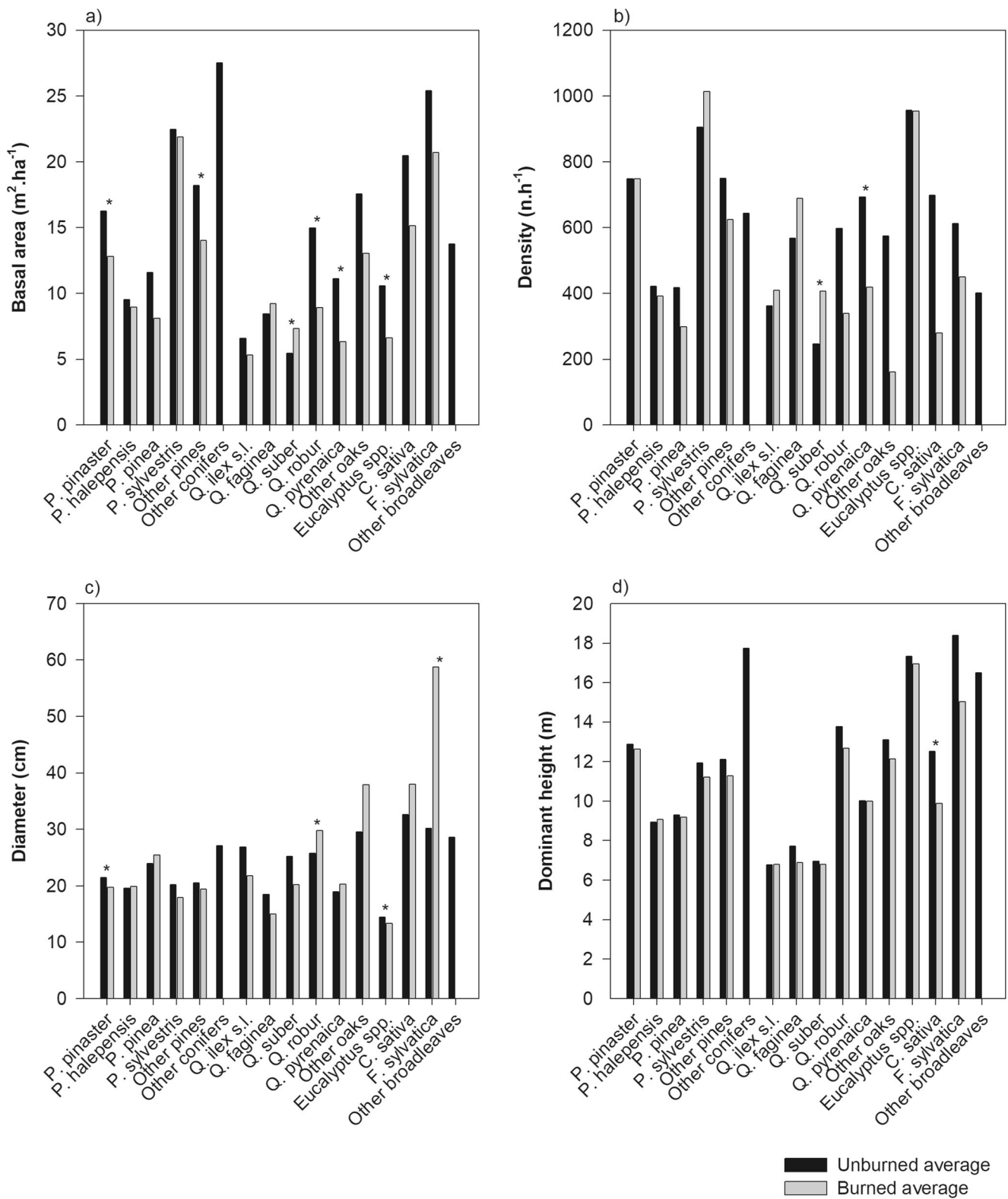
Some studies in Europe have related the stand characteristics with the crown fire potential (Fernandes 2009; Ruiz-González and Álvarez-González 2011; Fernández-Alonso



**Fig. 3** Location of unburned plots and plots that were later burned in the Iberian Peninsula NFIs for each species or group of species

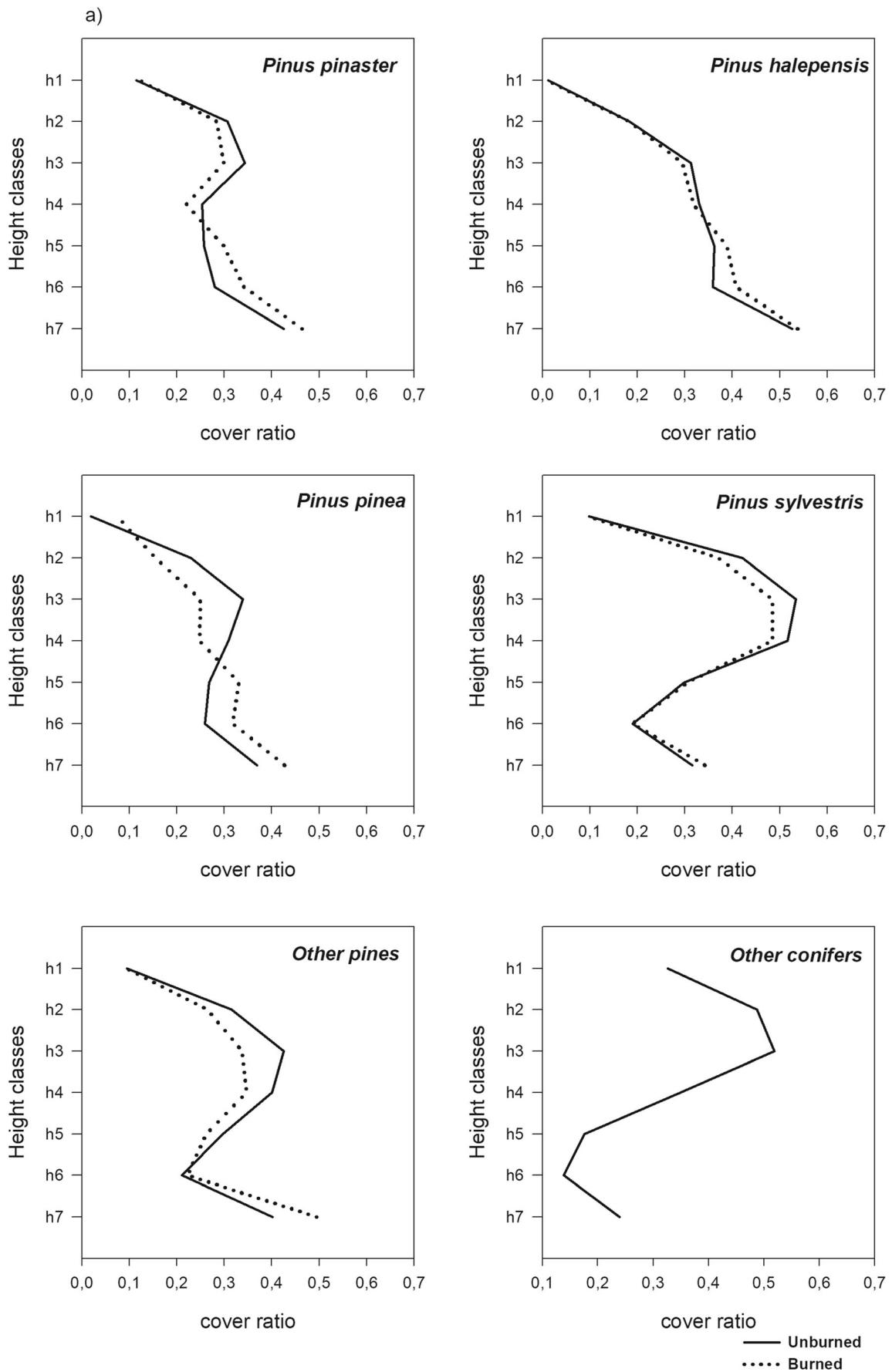
et al. 2013; Gómez-Vázquez et al. 2014; González-Ferreiro et al. 2017), but only a few with fire probability (González et al. 2006; Silva et al. 2009).

Even species composition of the stand is also a variable to take into account in fire occurrences (González et al. 2006), some studies found stand structure more important



**Fig. 4** Average values of the main stand characteristics of unburned plots and plots that were later burned by species or groups of species in the Iberian Peninsula (**a** stand basal area, **b** density, **c** quadratic mean

diameter, **d** dominant height). Asterisks indicate significant differences between unburned plots and plots that were later burned ( $P < 0.05$ )



◀ **Fig. 5** Total cover ratio by height class for each species or group of species in the Spanish and Portuguese NFIs. Continuous line corresponds to unburned plots whereas dotted line corresponds to plots that were later burned (a conifers species, b oak species, c other species). The seven height classes are distinguished as follows: h1 > 16 m, h2 [8–16 m], h3 [4–8 m], h4 [2–4 m], h5 [1–2 m], h6 [0.5–1 m] and h7 < 0.5 m

to fire behaviour than the tree species that comprise the stand (Fernandes et al. 2006). Our results demonstrated benefits on using information both in stand variables and stand vertical structure to assess the fire occurrence in NFIs stands.

Basal area is the stand characteristic that has shown to have a clear effect on the occurrence of wildfires on the Iberian Peninsula. These results are consistent with those reported by Fernández-Alonso et al. (2013), who estimates the canopy fuel characteristics in relation to crown fire potential in pine stands in north-western Spain and found that stand basal area was the most important variable for estimating canopy fuel loads and canopy bulk density. Also, González-Ferreiro et al. (2017) found that stand basal area was an important variable to estimate the vertical profiles of canopy fuels in *P. radiata* stands in north-western Spain.

The probability of forest stands to become affected by forest wildfire in the Iberian Peninsula, according to our results, increases with decreasing stand basal area, except for *Q. suber*, and with increasing percentage of cover of shrub species. Also, the general trend in most analysed species to burn when dominant height is lower seems intuitive. However, the interpretation of the results of stand basal area seems counterintuitive. This might occur since lower stand basal area allows for more understory development which, in turn, makes fire occurrence and spread easier. At first glance, it could be hypothesised that stands with higher values of basal area would have higher probability of burning as they have higher canopy biomass and higher proximity between tree crowns allowing an easier propagation of fire. In fact, it appears that the opposite conclusion applies, except for *Q. suber* and *Q. faginea*.

The joint interpretation of stand variables and vertical structure results allows for a better understanding of the processes involved. At the same time, information on stand vertical structure and its connection with fire occurrence is important to support management decisions on each fuel strata, surface, ladder and canopy fuel, which affect the fire behaviour the most. For instance, the unburned plots of *Q. suber* are associated with low density and larger quadratic mean diameters, as in mature “dehesas” known to be much less susceptible to burn, consistent with a previous study by Pollet and Omi (2002) who reported that stands resembling mature

sparse forests have a lower fire risk than dense and multi-layered stands. On the other hand, for the taller deciduous oaks, as *Q. robur* and *Q. pyrenaica*, the plots that were later burned have low values of stand basal area, reflecting low density, in spite of large quadratic mean diameters. This is in association with higher values for understory cover that are more fire prone. Closed canopies of deciduous trees have higher foliar moisture and are therefore less prone to sustain wildfire spread.

The results highlight the importance of knowledge of stand characteristics and its structure that have more influence in fire occurrence. This knowledge is crucial for establishing silvicultural strategies that takes into consideration the risk of fire (González et al. 2006; Botequim et al. 2017) in order to design stand-level fuel treatments to lower fire occurrence. Also, understanding the stand variables more associated with fire occurrence in connection with information from the structure of the stands serves as a diagnostic of the hazardous forest areas with the need of urgent interventions and changed management strategies.

The NFI harmonisation process is an important and necessary tool to disseminate data that enables comparative analyses to be performed at the European scale (Winter et al. 2008; Tomppo et al. 2010; Vidal et al. 2016). This work used a methodology to harmonise the vertical structure analysis between the two countries that could be applied to other countries with common problems, and they should be treated as a whole. Other parameters such as climate, dead wood and other variables from NFIs could be included in a further analysis.

## 5 Conclusions

In this study, we used harmonised data from Spain and Portugal NFIs to identify the influence of forest characteristics on fire occurrence. This harmonised NFIs information provides an outstanding database in terms of size and representation of forests in both countries. Further studies regarding the influence of forest fuels composition and their spatial structure on the transition from surface to crown fire and on fire severity based on the NFI information would be recommended. Also, analyses of the proximity between stands and their probability to burn could contribute to include spatial patterning in this process and in the development of integrated fire probability models. Future steps should include post fire conditions and satellite data allowing for a more detailed information on the severity and areas burned, providing an opportunity to a deeper analysis.

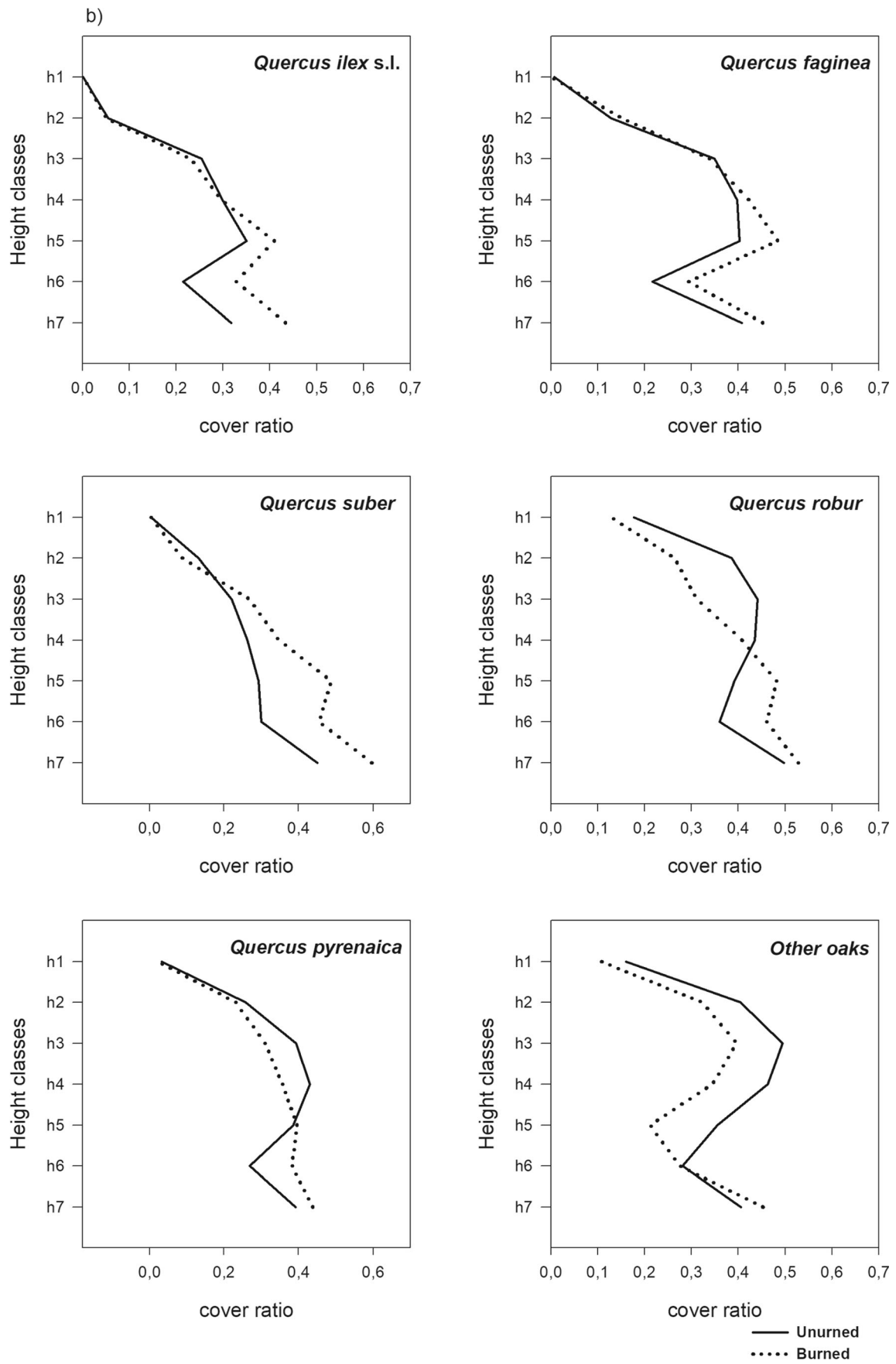


Fig. 5 continued.

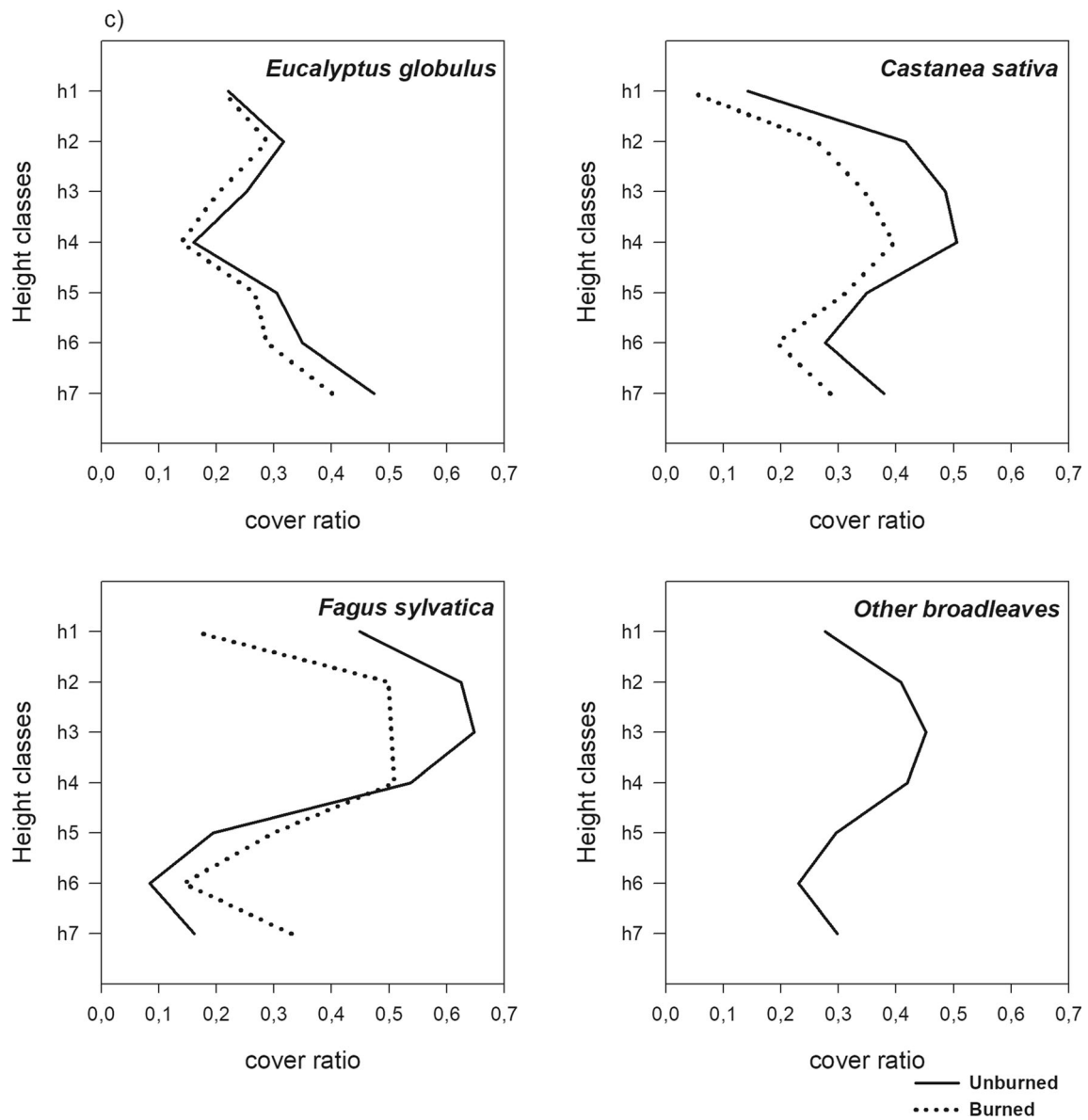


Fig. 5 continued.

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**Contribution of the co-authors** Leónia NUNES: experimental design, data analysis and elaboration of the paper.

Juan ÁLVAREZ-GONZÁLEZ: experimental design and writing the paper.

Iciar ALBERDI: experimental design and writing the paper.

Vasco SILVA: experimental design and writing the paper.

Marta ROCHA: experimental design and writing the paper.

Francisco CASTRO REGO: experimental design, data analysis and writing the paper.

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**Data availability** The datasets generated and/or analysed during the current study are available from the corresponding author on reasonable request. The authors are considering to make the new dataset generated during this study available in a public repository upon acceptance from Spanish and Portuguese NFIs responsible and co-authors.

## Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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